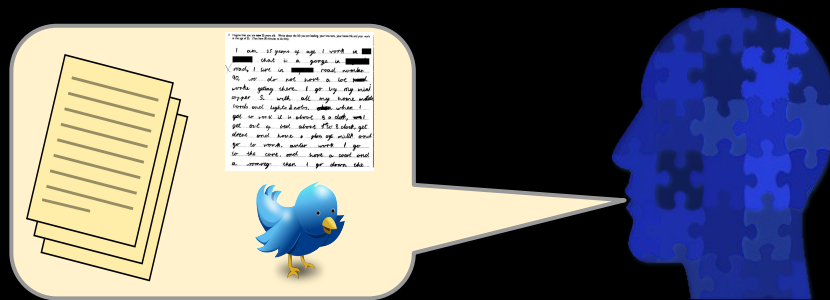


Recurrent Neural Networks for Language Modeling

CSE392 - Spring 2019
Special Topic in CS

Tasks



- Language Modeling: Generate how?
next word, sentence \approx capture
hidden representation of
sentences.

- Recurrent Neural Network and
Sequence Models

Language Modeling

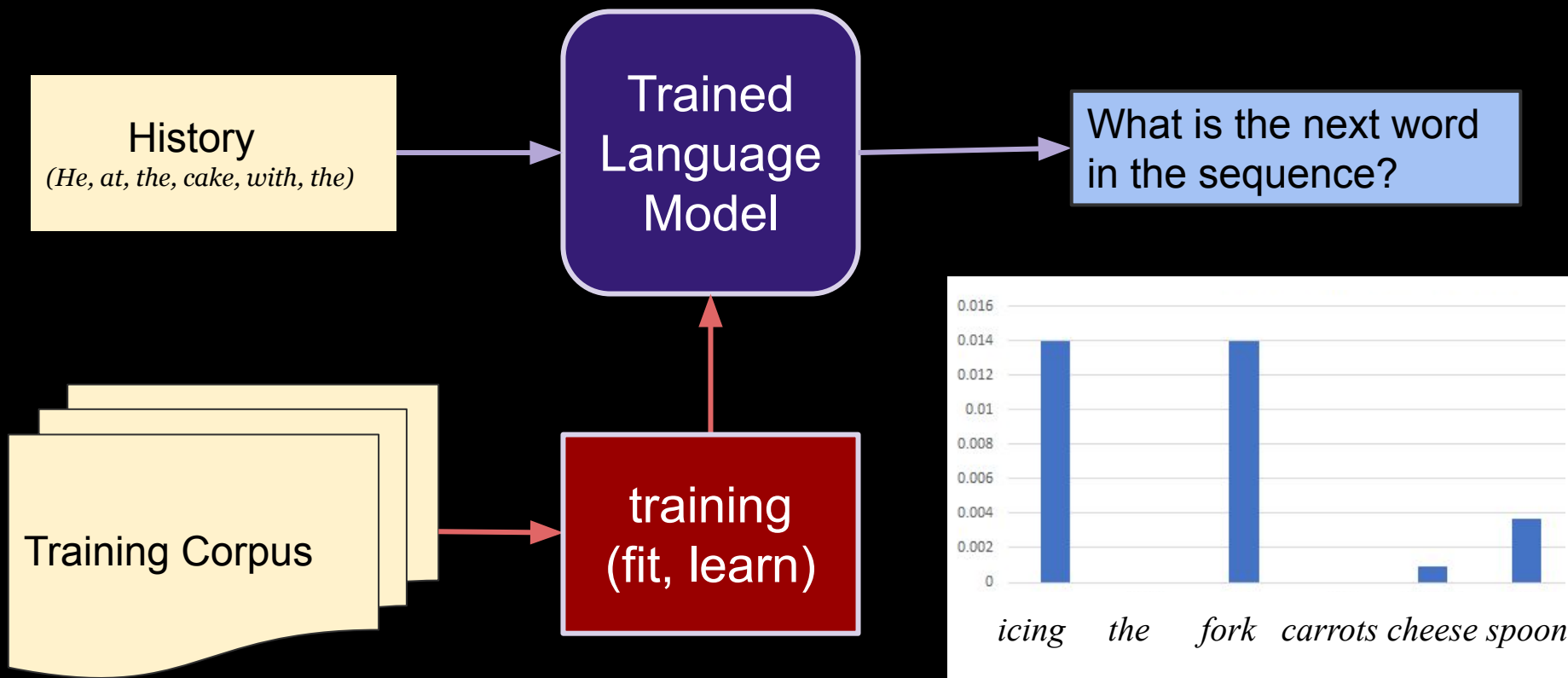
Task: Estimate $P(w_n | w_1, w_2, \dots, w_{n-1})$

:probability of a next word given history

$P(\text{fork} | \text{He ate the cake with the}) = ?$

Language Modeling

Task: Estimate $P(w_n | w_1, w_2, \dots, w_{n-1})$
:probability of a next word given history
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Neural Networks: Graphs of Operations (excluding the optimization nodes)

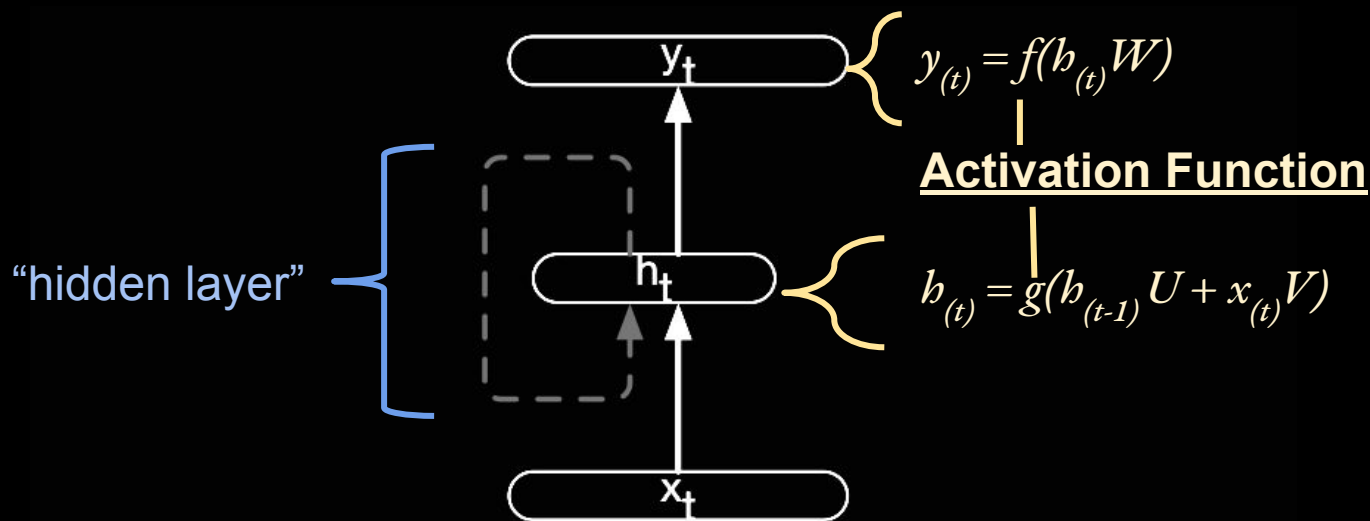
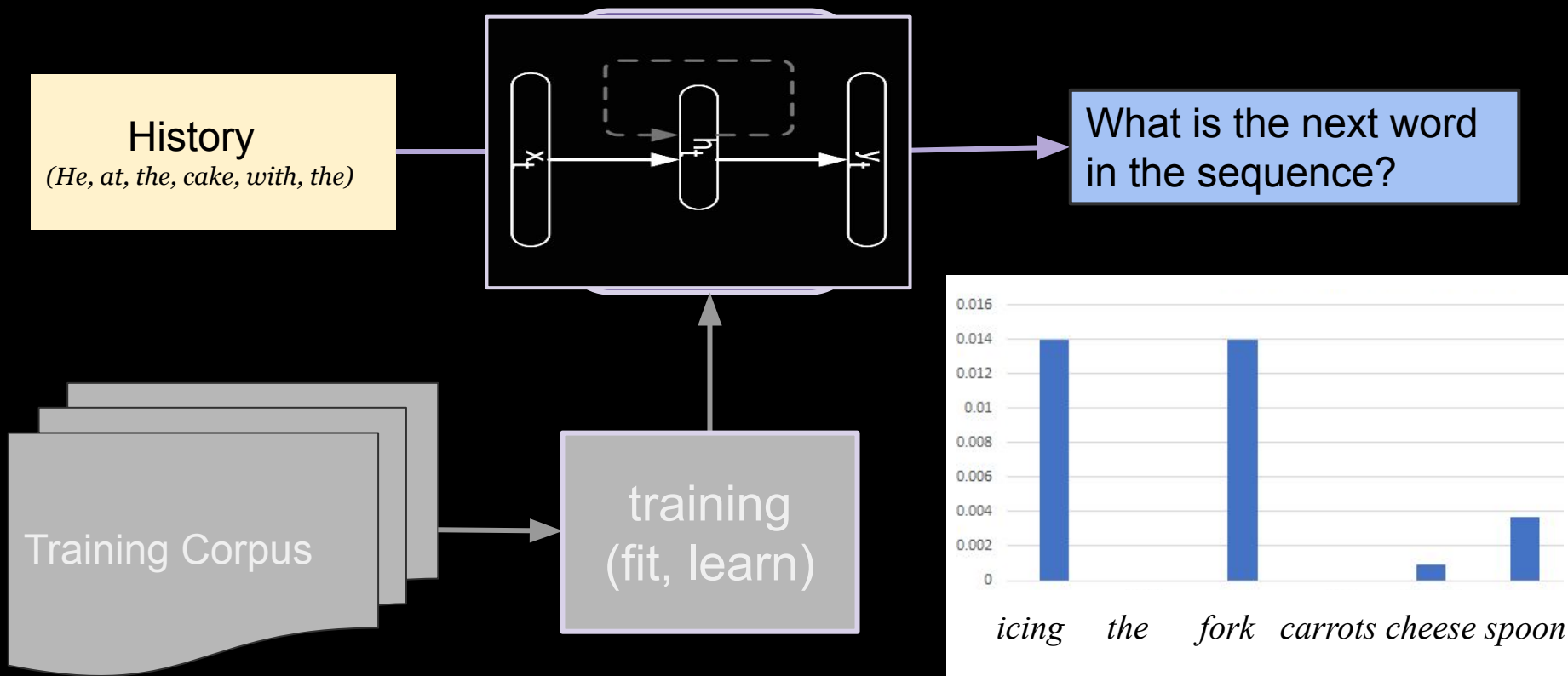


Figure 9.2 Simple recurrent neural network after Elman (Elman, 1990). The hidden layer includes a recurrent connection as part of its input. That is, the activation value of the hidden layer depends on the current input as well as the activation value of the hidden layer from the previous timestep. (Jurafsky, 2019)

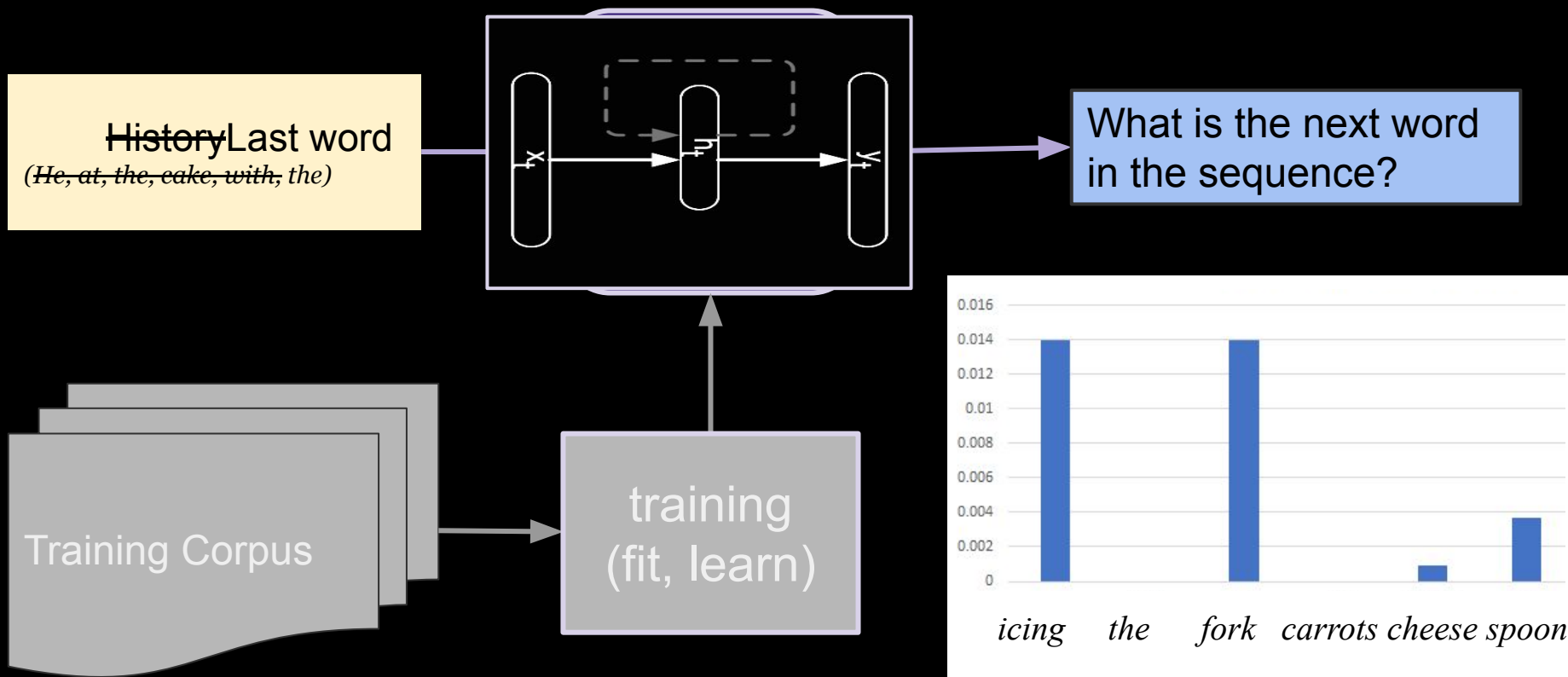
Language Modeling

Task: Estimate $P(w_n | w_1, w_2, \dots, w_{n-1})$
:probability of a next word given history
 $P(\text{fork} | \text{He ate the cake with the}) = ?$



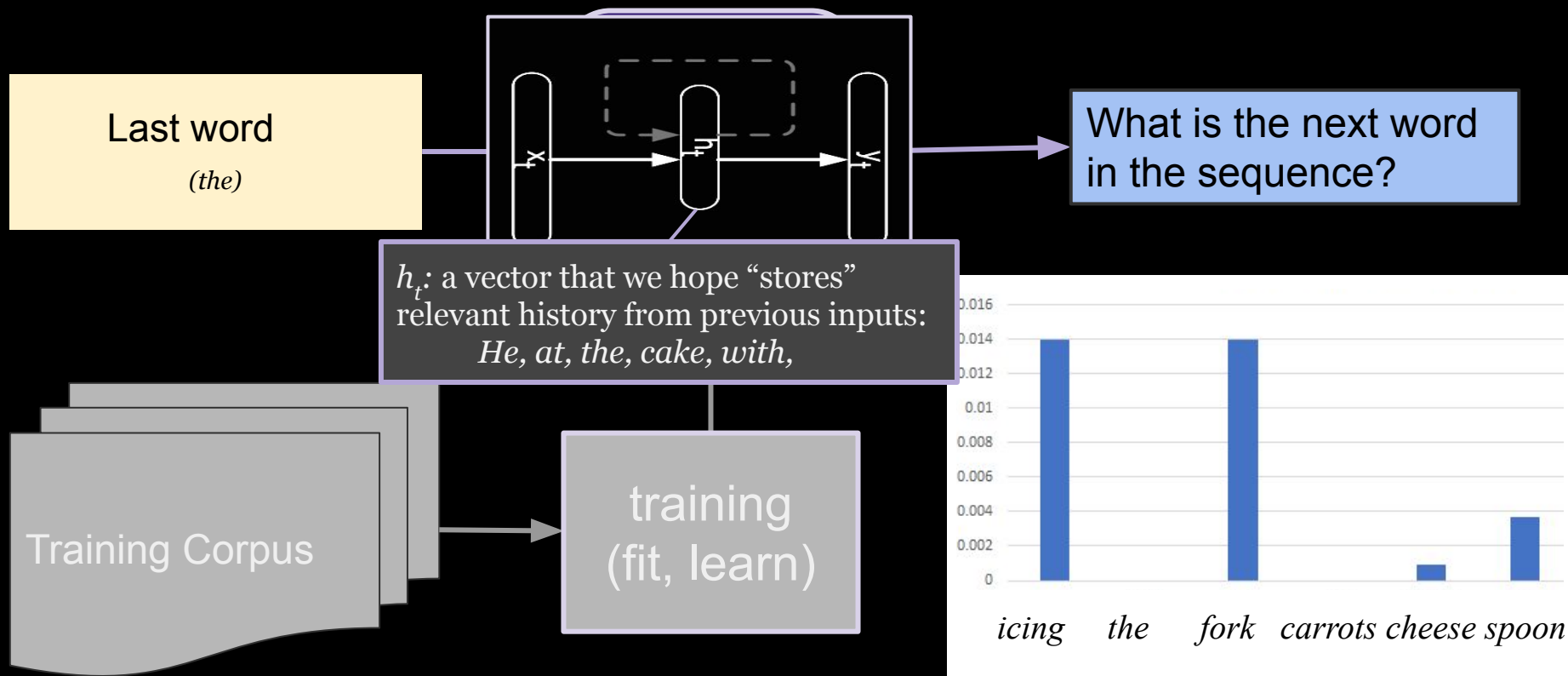
Language Modeling

*Task: Estimate $P(w_n | w_1, w_2, \dots, w_{n-1})$
:probability of a next word given history
 $P(\text{fork} | \text{He ate the cake with the}) = ?$*

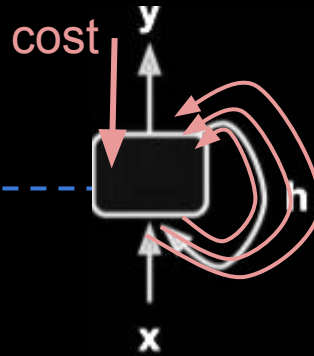


Language Modeling

Task: Estimate $P(w_n | w_1, w_2, \dots, w_{n-1})$
:probability of a next word given history
 $P(\text{fork} | \text{He ate the cake with the}) = ?$



Optimization:



Backward Propagation

...

```
#define forward pass graph:
```

```
 $h_{(0)} = 0$ 
```

```
for i in range(1, len(x)):
```

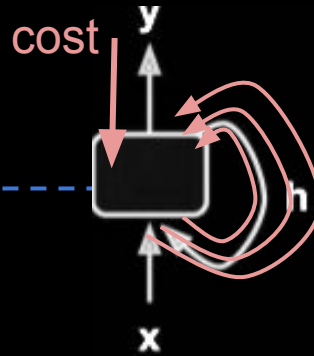
```
     $h_{(i)} = \text{tf.tanh}(\text{tf.matmul}(U, h_{(i-1)}) + \text{tf.matmul}(W, x_{(i)}))$  #update hidden state
```

```
     $y_{(i)} = \text{tf.softmax}(\text{tf.matmul}(V, h_{(i)}))$  #update output
```

...

```
cost =  $\text{tf.reduce\_mean}(-\text{tf.reduce\_sum}(y * \text{tf.log}(y\_pred)))$ 
```

Optimization:



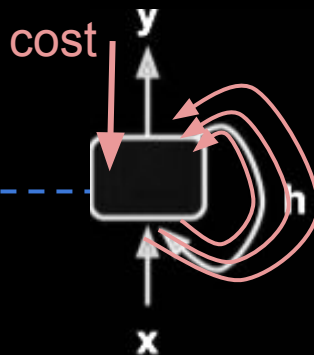
Backward Propagation

```
...  
#define forward pass graph:  
h(0) = 0  
for i in range(1, len(x)):  
    h(i) = tf.tanh(tf.matmul(U,  
state  
    y(i) = tf.softmax(tf.matmul  
...  
cost = tf.reduce_mean(-tf.reduce
```

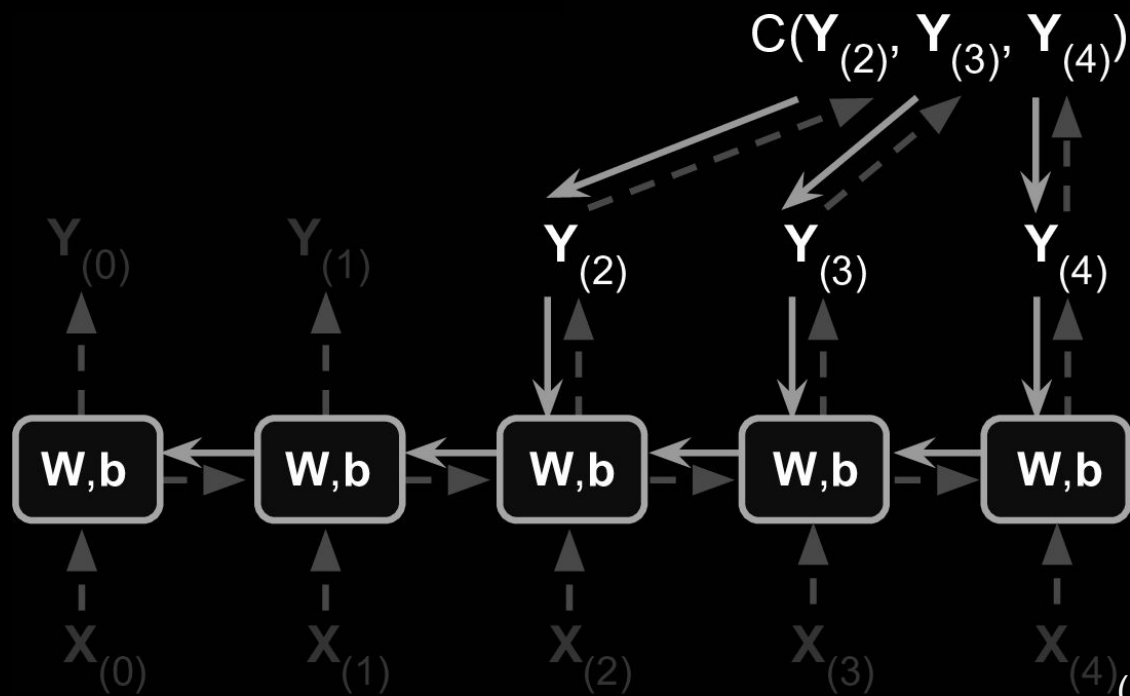
To find the gradient for the overall graph, we use **back propogation**, which *essentially* chains together the gradients for each node (function) in the graph.

With many recursions, the gradients can vanish or explode (become too large or small for floating point operations).

Optimization:



Backward Propagation



(Geron, 2017)

How to address exploding and vanishing gradients?

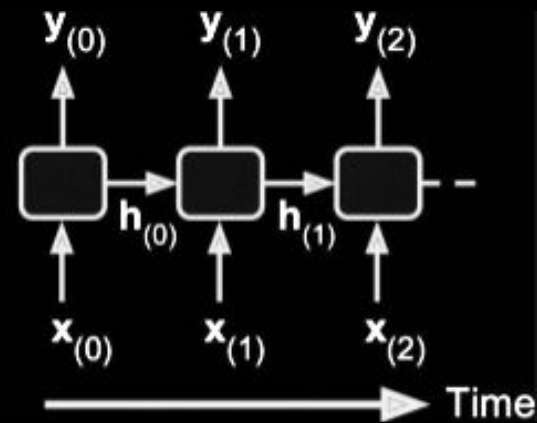
Ad Hoc approaches: e.g. stop backprop iterations very early. “clip” gradients when too high.

How to address exploding and vanishing gradients?

Dominant approach: Use Long Short Term Memory Networks (LSTM)



RNN model

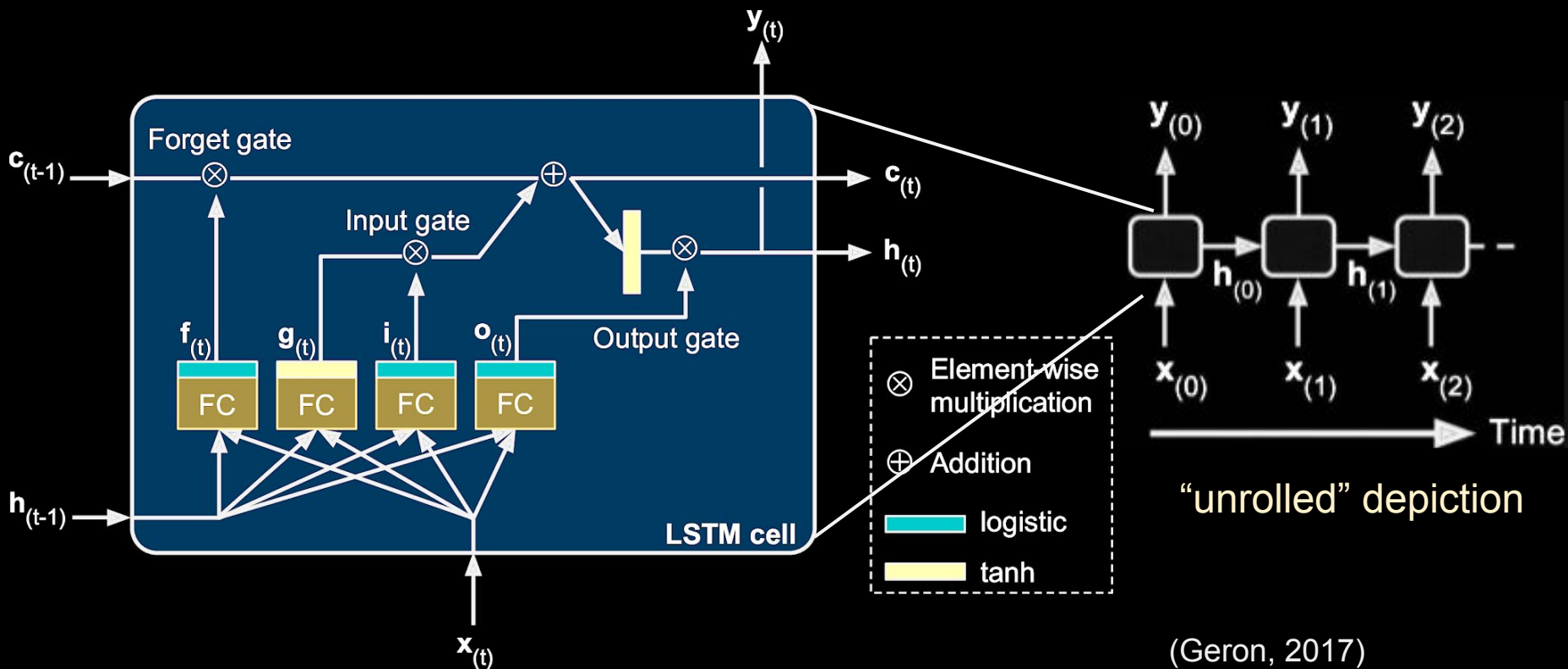


“unrolled” depiction

(Geron, 2017)

How to address exploding and vanishing gradients?

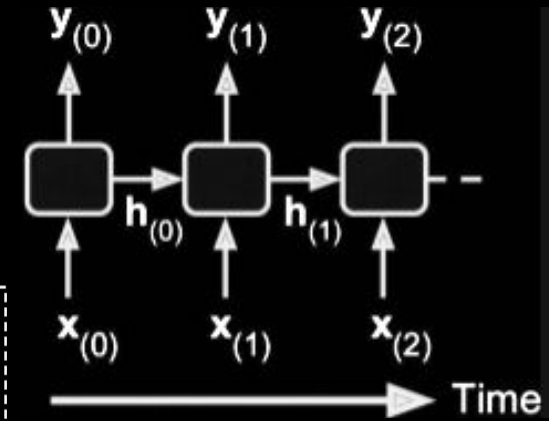
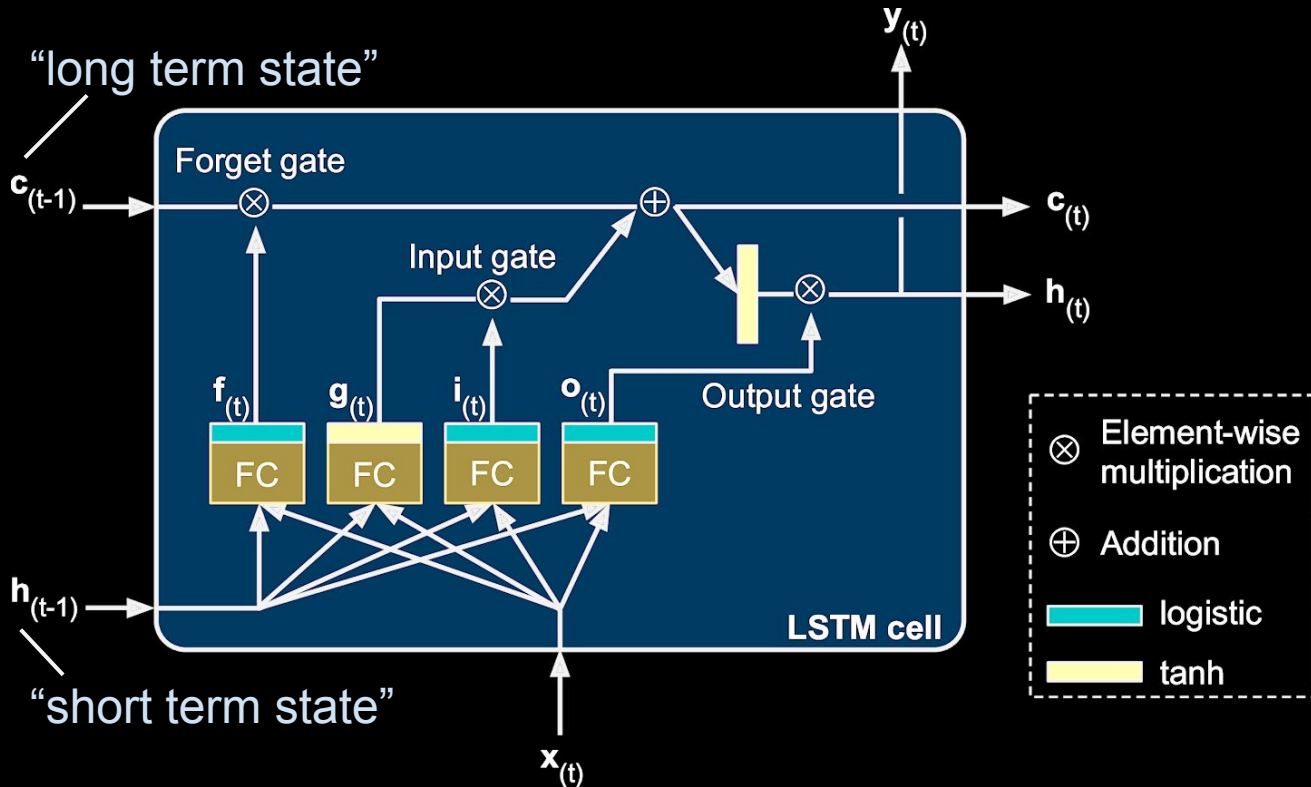
The LSTM Cell



(Geron, 2017)

How to address exploding and vanishing gradients?

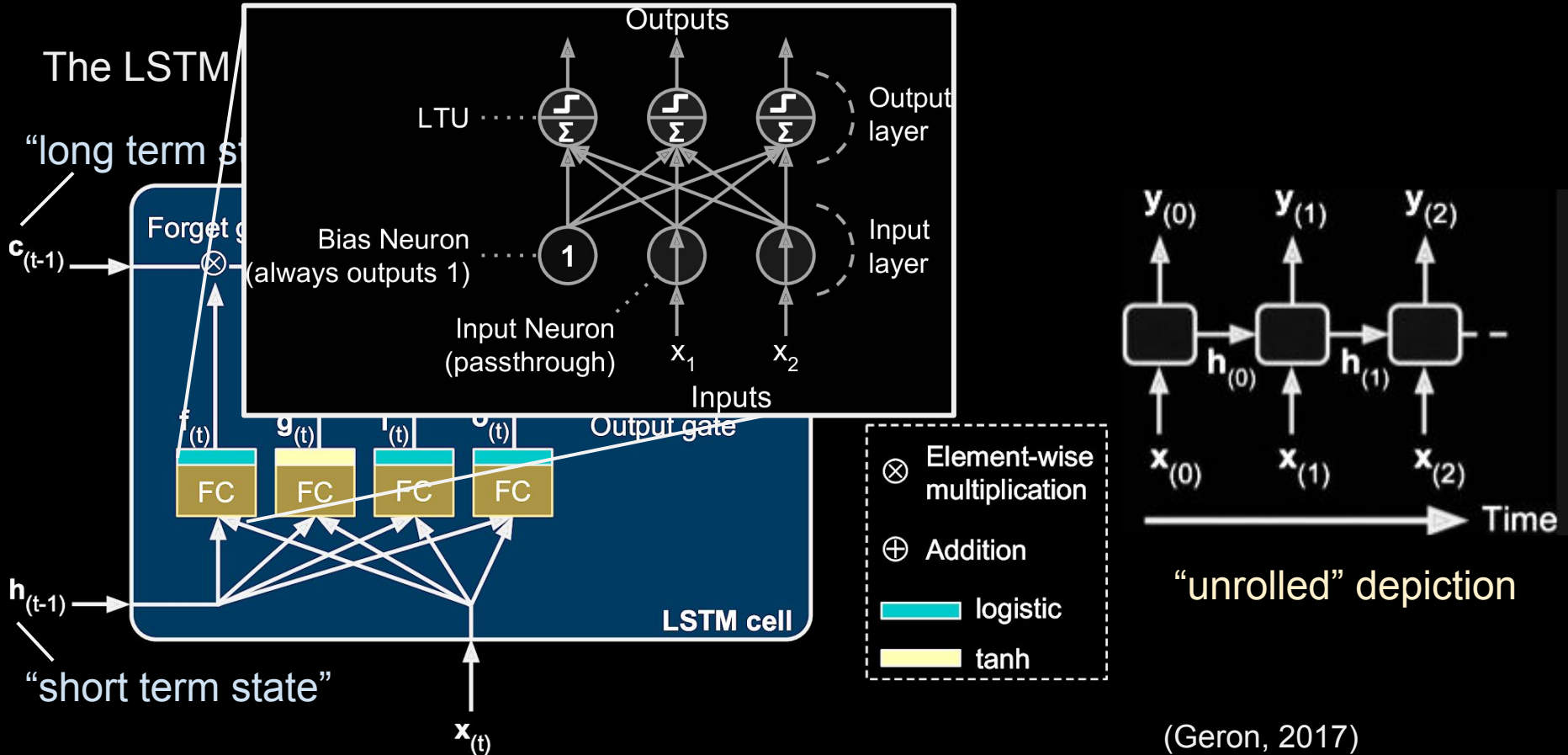
The LSTM Cell



"unrolled" depiction

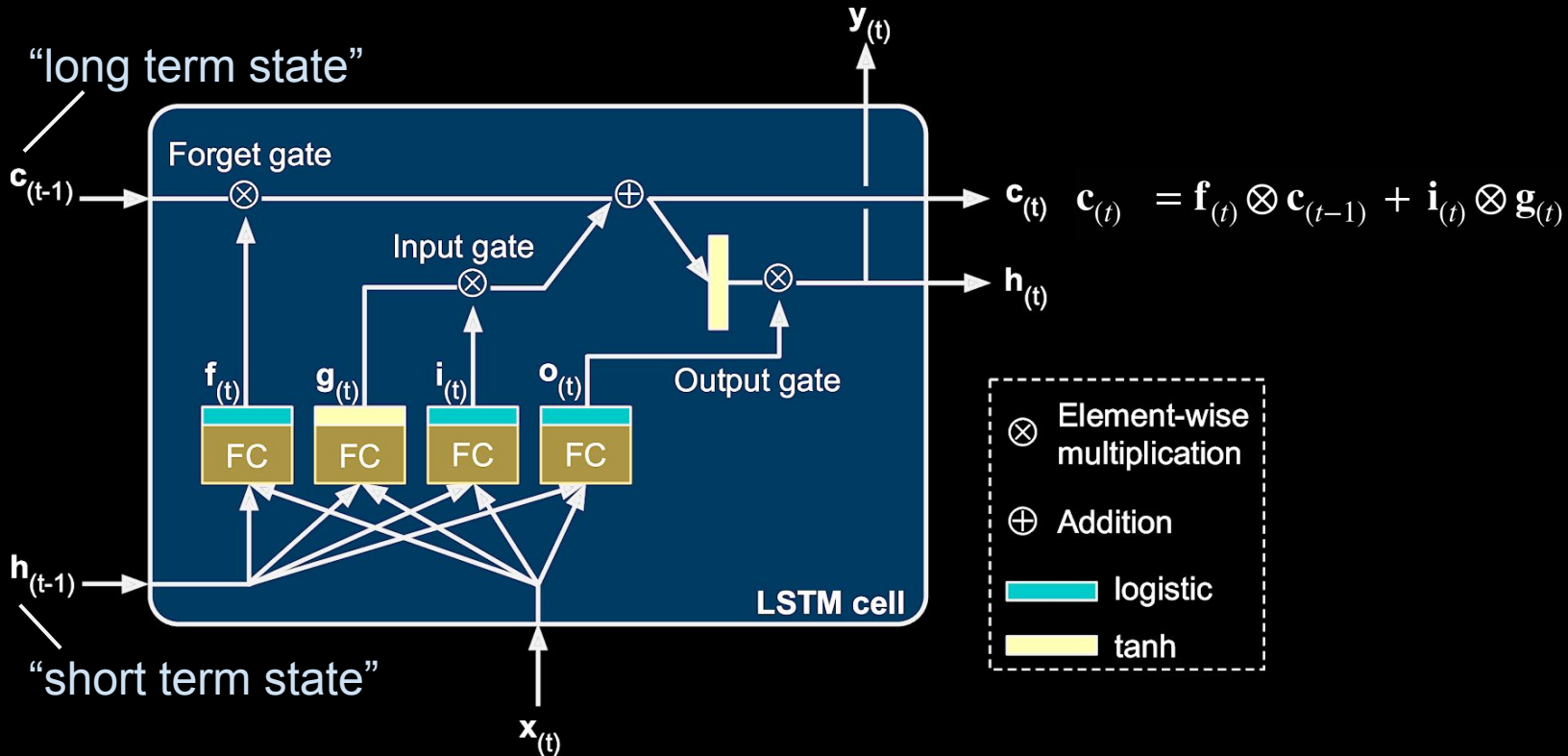
(Geron, 2017)

How to address exploding and vanishing gradients?



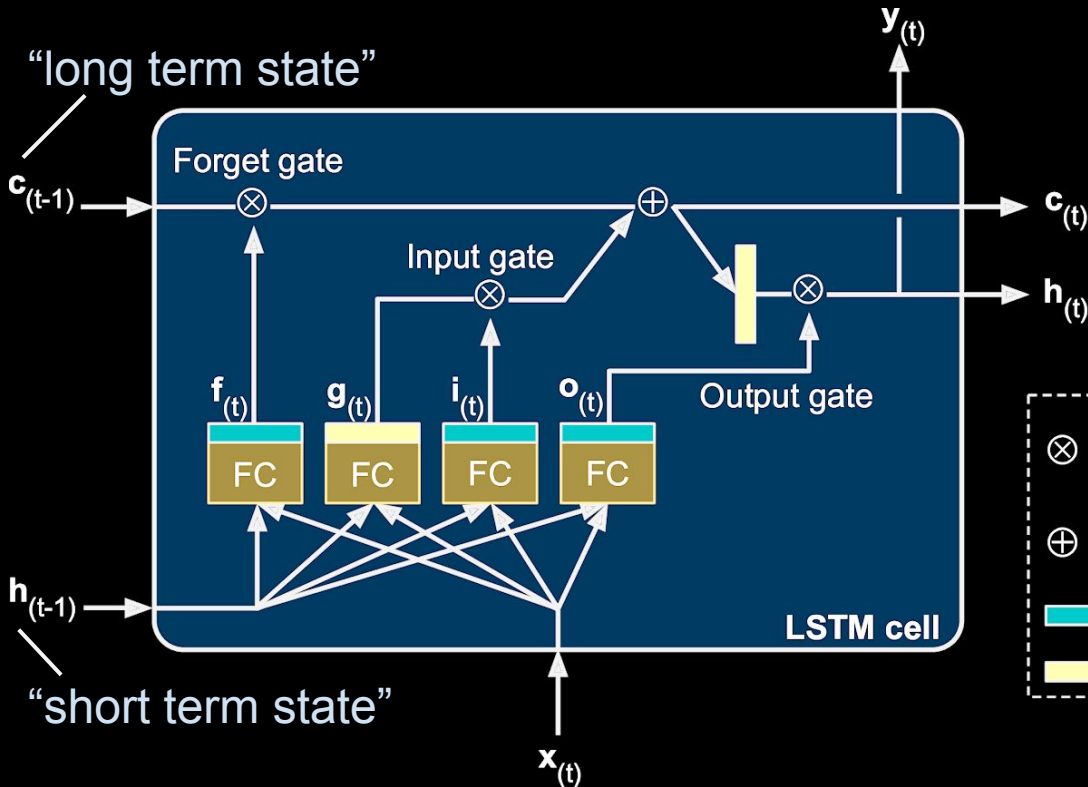
How to address exploding and vanishing gradients?

The LSTM Cell



How to address exploding and vanishing gradients?

The LSTM Cell



$$\mathbf{i}_{(t)} = \sigma(\mathbf{W}_{xi}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hi}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_i)$$

$$\mathbf{f}_{(t)} = \sigma(\mathbf{W}_{xf}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hf}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_f)$$

$$\mathbf{g}_{(t)} = \tanh(\mathbf{W}_{xg}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hg}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_g)$$

$$\mathbf{c}_{(t)} = \mathbf{f}_{(t)} \otimes \mathbf{c}_{(t-1)} + \mathbf{i}_{(t)} \otimes \mathbf{g}_{(t)}$$

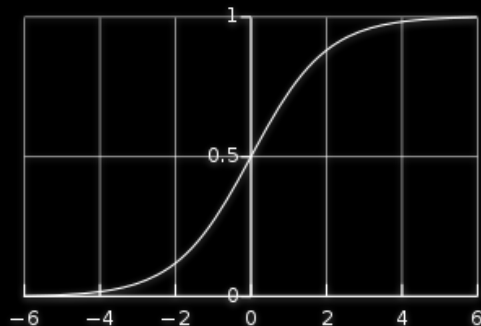
bias term

- \otimes Element-wise multiplication
- \oplus Addition
- logistic
- tanh

Common Activation Functions

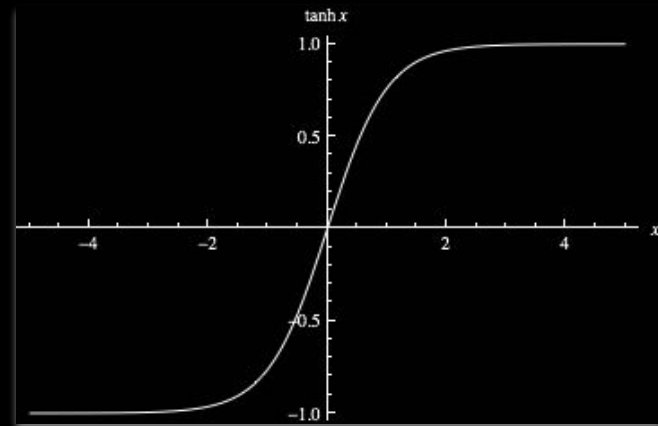
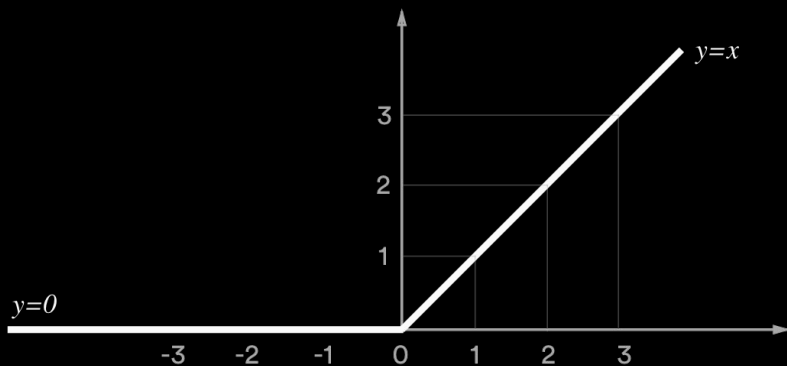
$$z = b_{(t)}W$$

Logistic: $\sigma(z) = 1 / (1 + e^{-z})$



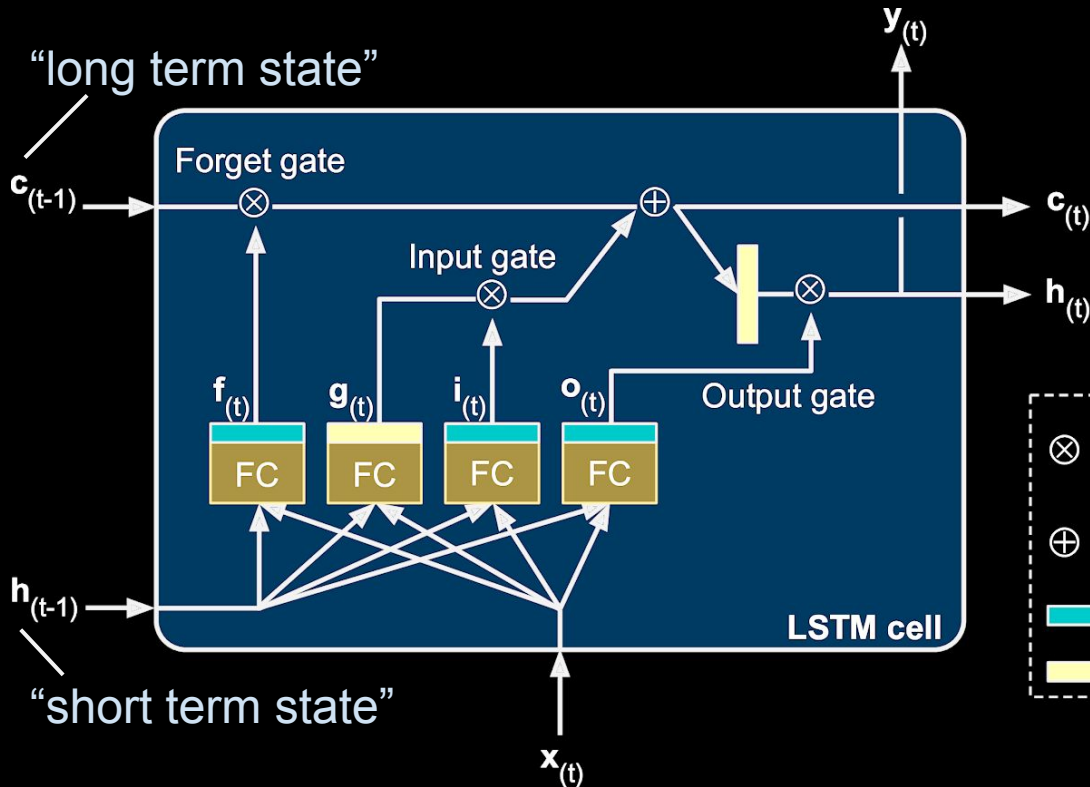
Hyperbolic tangent: $\tanh(z) = 2\sigma(2z) - 1 = (e^{2z} - 1) / (e^{2z} + 1)$

Rectified linear unit (ReLU): $ReLU(z) = \max(0, z)$



LSTM

The LSTM Cell



$$\mathbf{i}_{(t)} = \sigma(\mathbf{W}_{xi}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hi}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_i)$$

$$\mathbf{f}_{(t)} = \sigma(\mathbf{W}_{xf}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hf}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_f)$$

$$\mathbf{g}_{(t)} = \tanh(\mathbf{W}_{xg}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hg}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_g)$$

$$\mathbf{c}_{(t)} = \mathbf{f}_{(t)} \otimes \mathbf{c}_{(t-1)} + \mathbf{i}_{(t)} \otimes \mathbf{g}_{(t)}$$

\otimes Element-wise multiplication

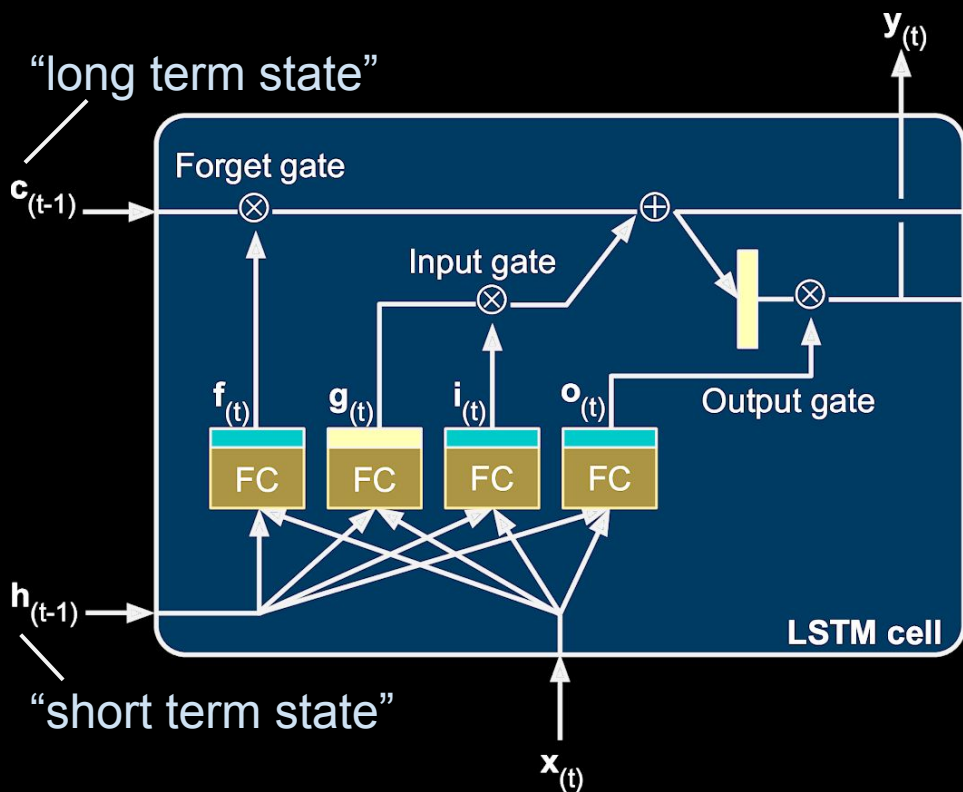
\oplus Addition

 logistic

 tanh

LSTM

The LSTM Cell



$$\mathbf{i}_{(t)} = \sigma(\mathbf{W}_{xi}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hi}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_i)$$

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$$\mathbf{c}_{(t)} = \mathbf{f}_{(t)} \otimes \mathbf{c}_{(t-1)} + \mathbf{i}_{(t)} \otimes \mathbf{g}_{(t)}$$

$$\mathbf{y}_{(t)} = \mathbf{h}_{(t)} = \mathbf{o}_{(t)} \otimes \tanh(\mathbf{c}_{(t)})$$

\otimes Element-wise multiplication

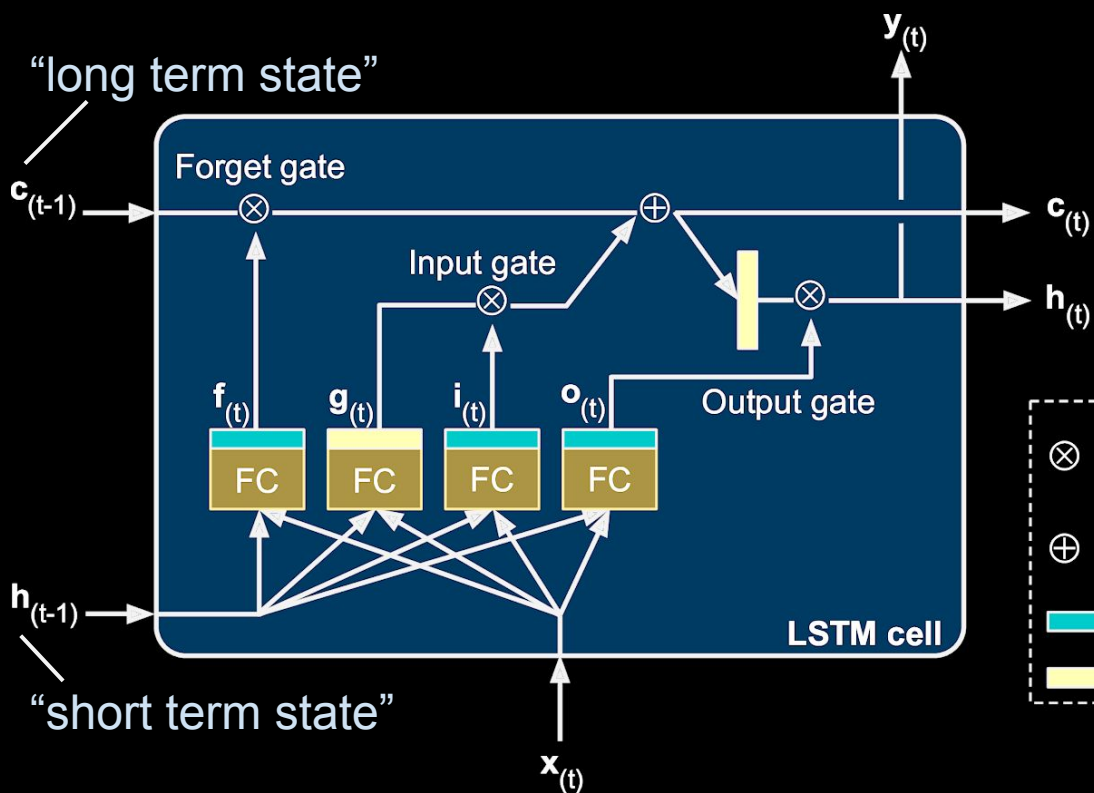
\oplus Addition

 logistic

 tanh

LSTM

The LSTM Cell



$$\mathbf{i}^{(t)} = \sigma(\mathbf{W}_{xi}^T \cdot \mathbf{x}^{(t)} + \mathbf{W}_{hi}^T \cdot \mathbf{h}^{(t-1)} + \mathbf{b}_i)$$

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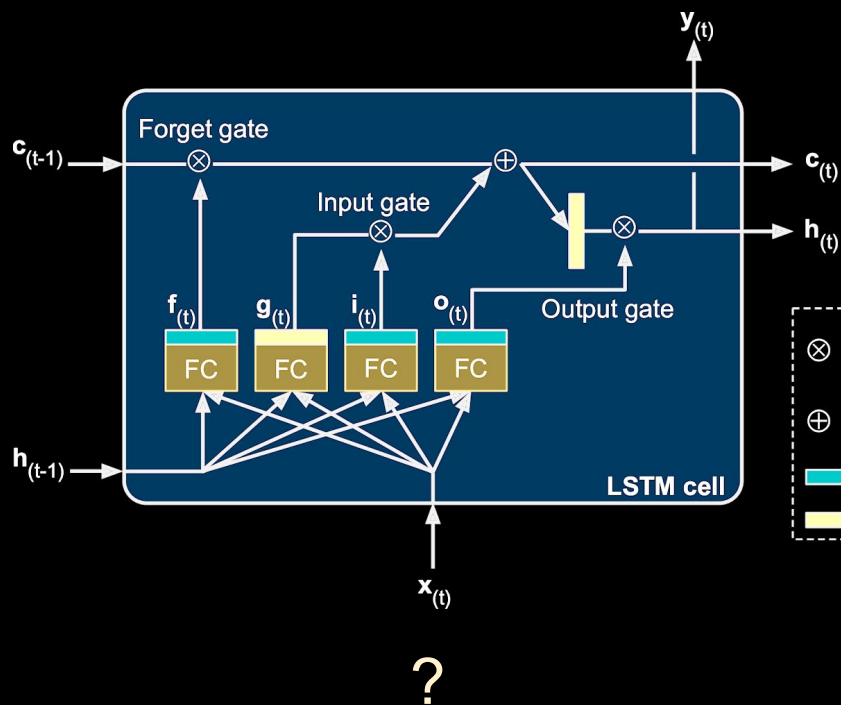
$$\mathbf{o}^{(t)} = \sigma(\mathbf{W}_{xo}^T \cdot \mathbf{x}^{(t)} + \mathbf{W}_{ho}^T \cdot \mathbf{h}^{(t-1)} + \mathbf{b}_o)$$

$$\mathbf{g}^{(t)} = \tanh(\mathbf{W}_{xg}^T \cdot \mathbf{x}^{(t)} + \mathbf{W}_{hg}^T \cdot \mathbf{h}^{(t-1)} + \mathbf{b}_g)$$

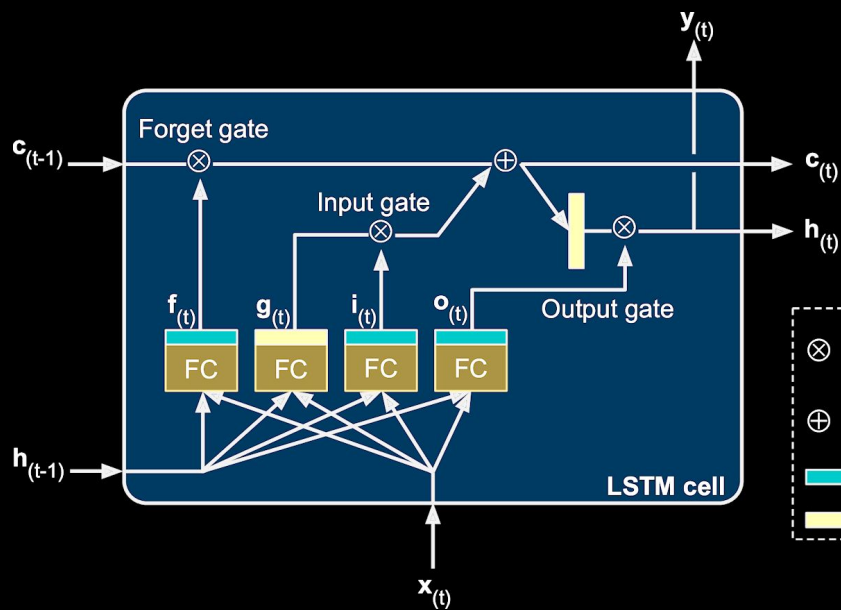
$$\mathbf{c}^{(t)} = \mathbf{f}^{(t)} \otimes \mathbf{c}^{(t-1)} + \mathbf{i}^{(t)} \otimes \mathbf{g}^{(t)}$$

$$\mathbf{y}^{(t)} = \mathbf{h}^{(t)} = \mathbf{o}^{(t)} \otimes \tanh(\mathbf{c}^{(t)})$$

Input to LSTM



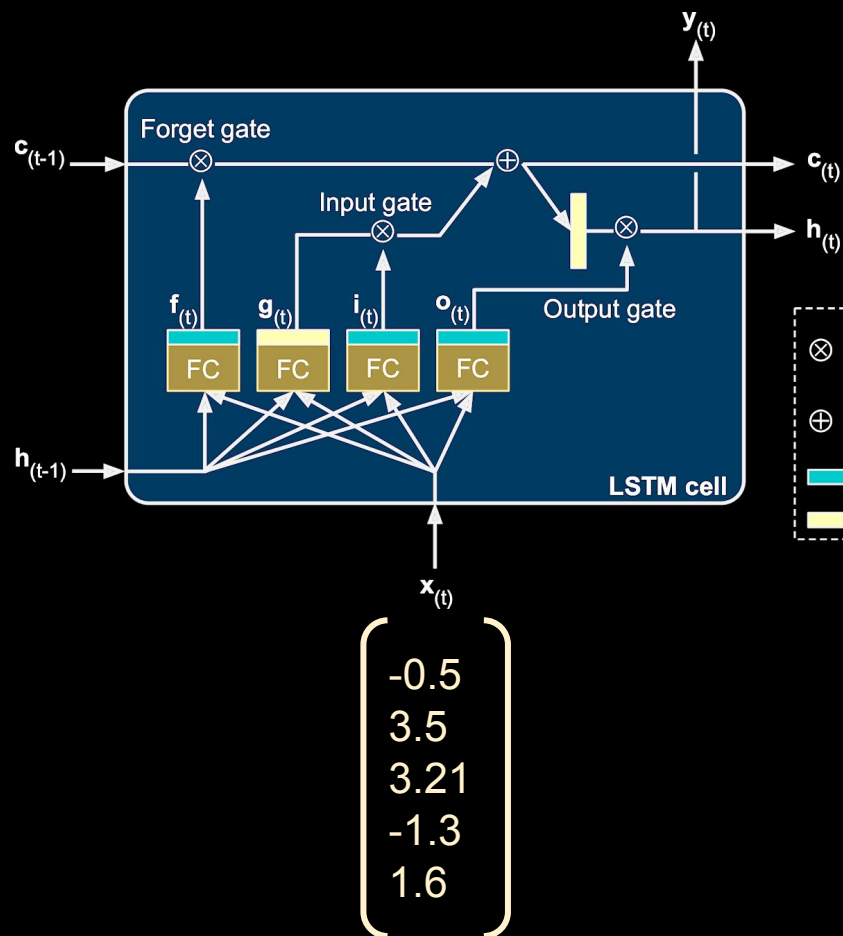
Input to LSTM



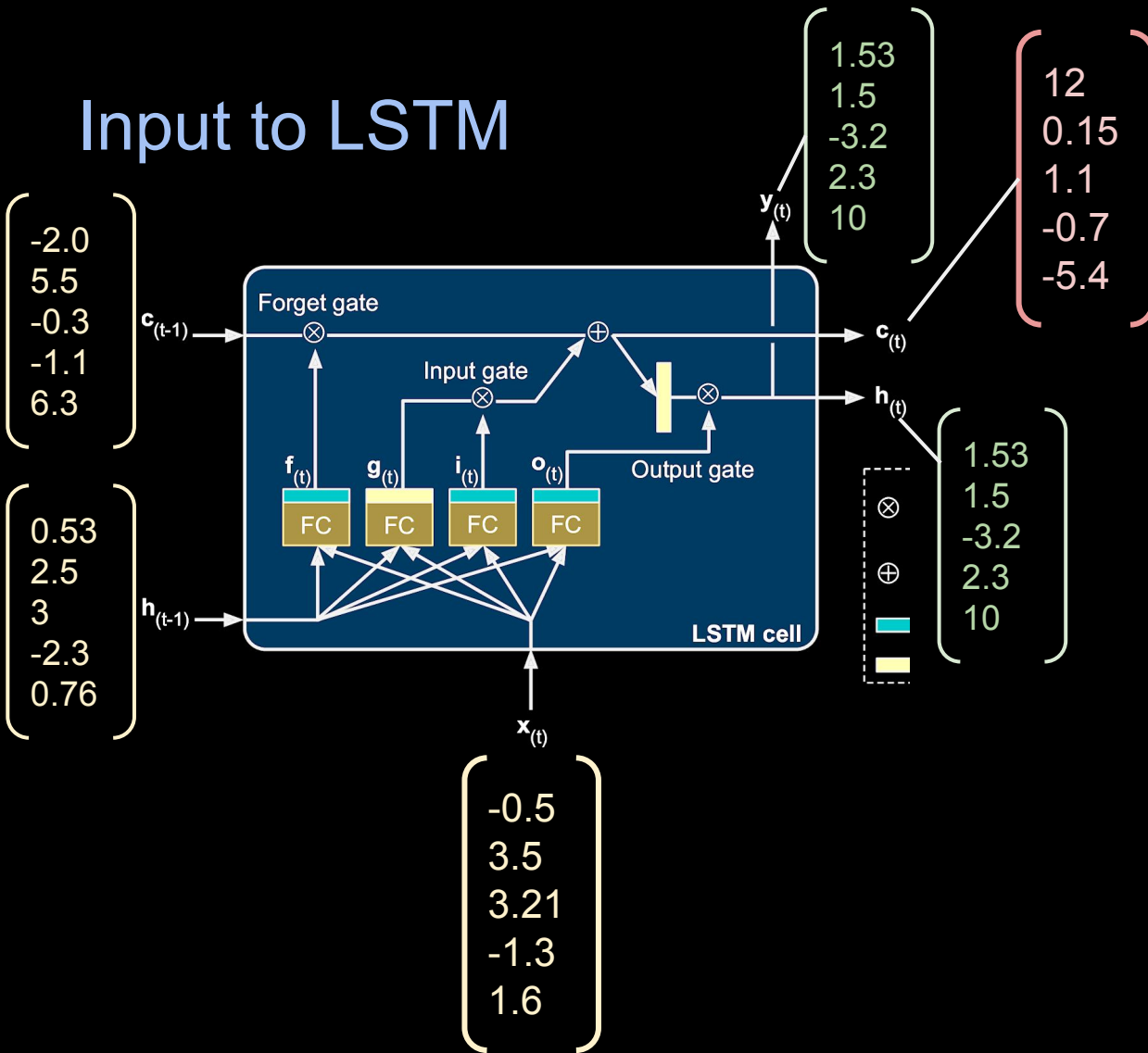
?

- One-hot encoding?
- Word Embedding

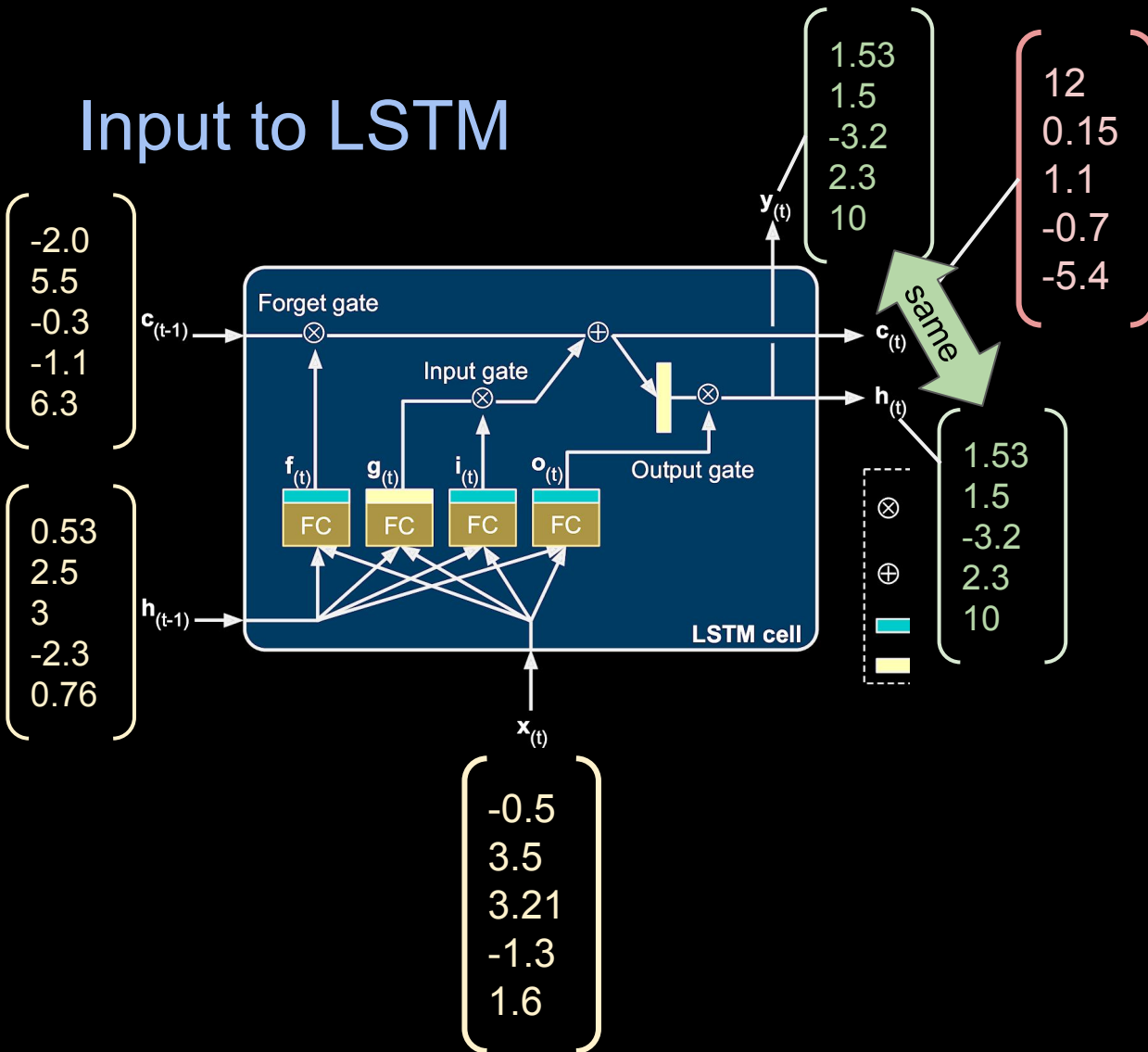
Input to LSTM



Input to LSTM

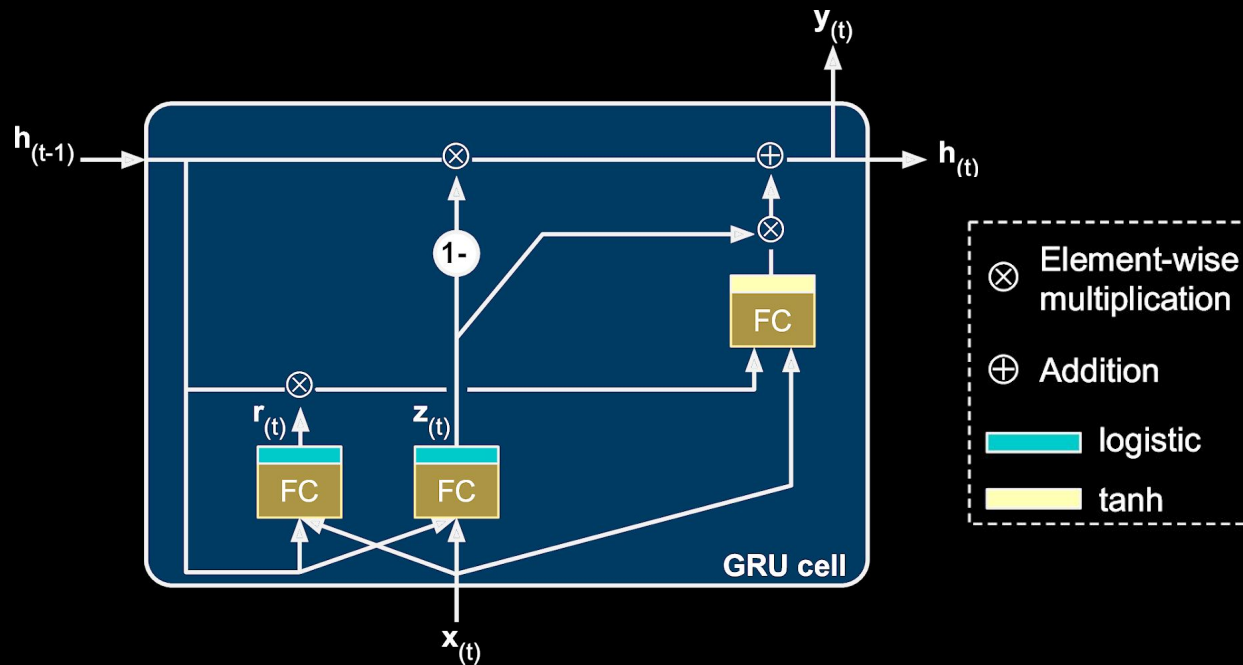


Input to LSTM



The GRU

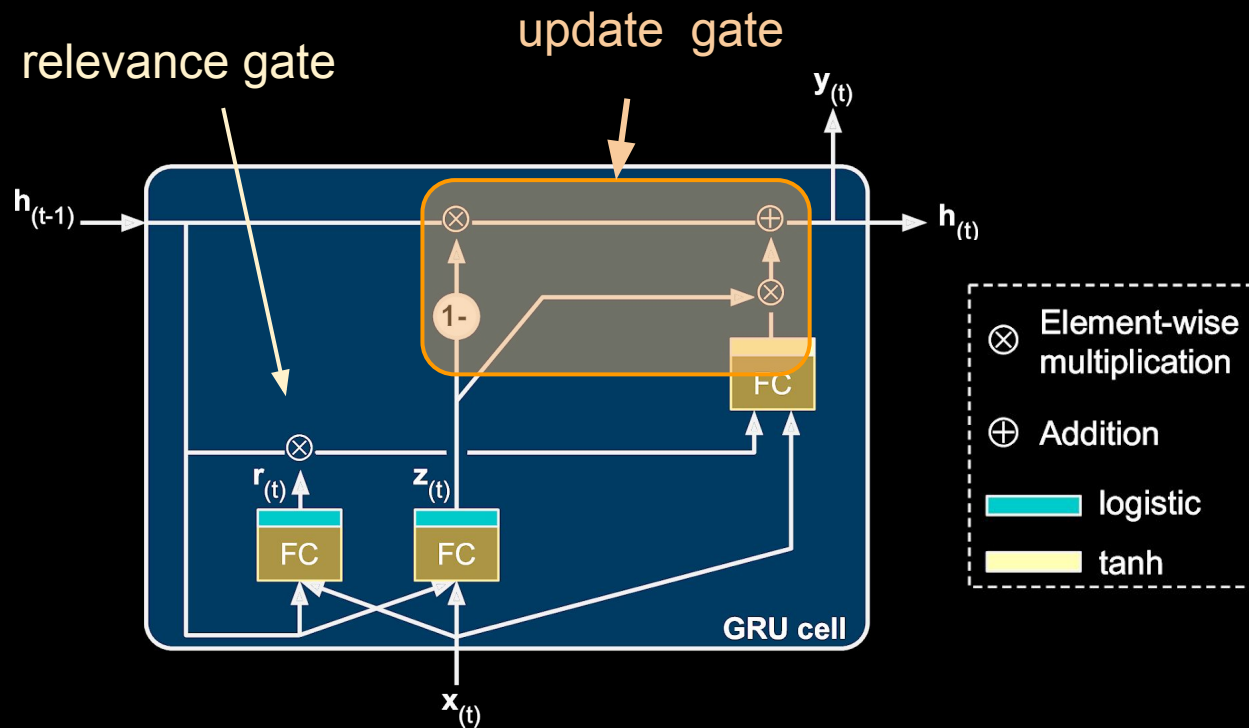
Gated Recurrent Unit



(Geron, 2017)

The GRU

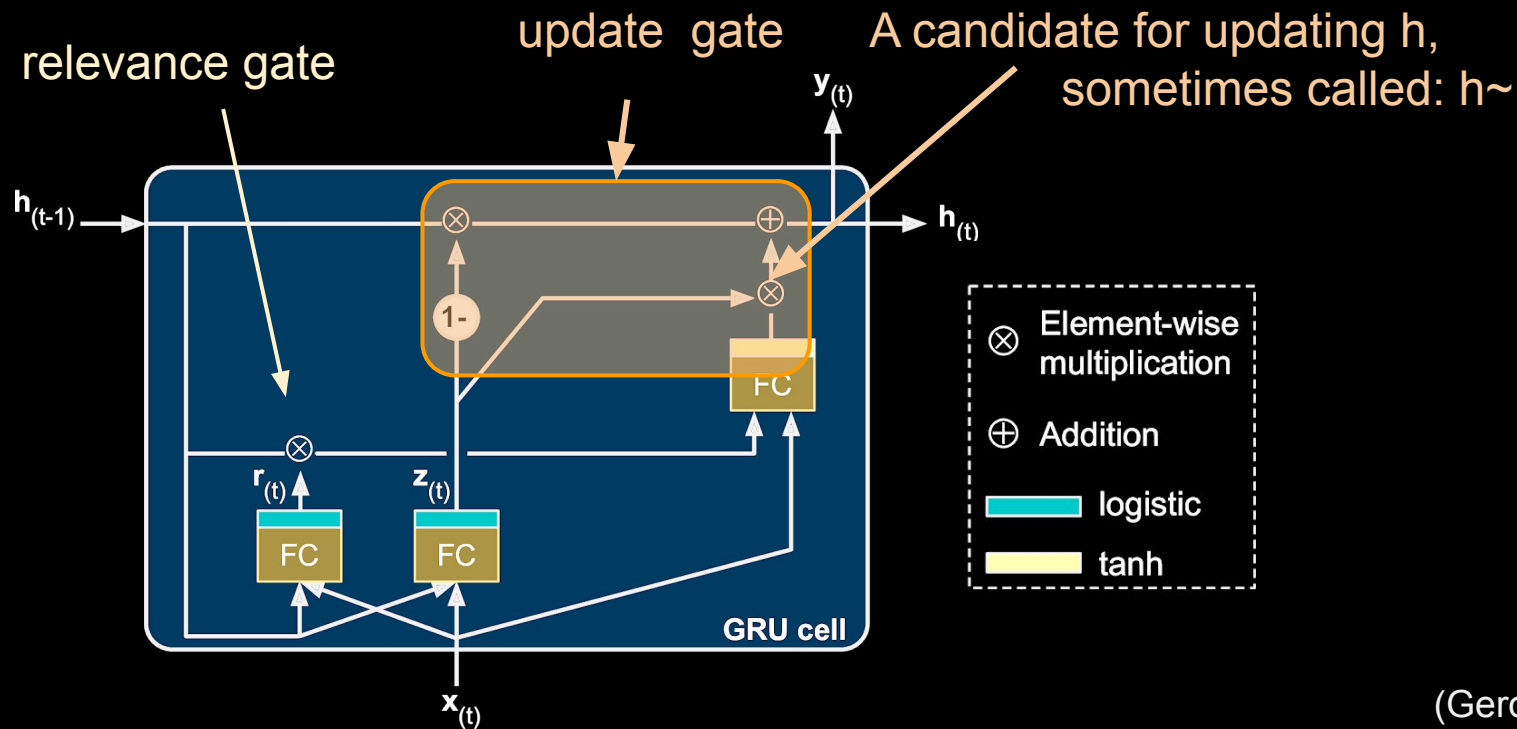
Gated Recurrent Unit



(Geron, 2017)

The GRU

Gated Recurrent Unit



(Geron, 2017)

The GRU

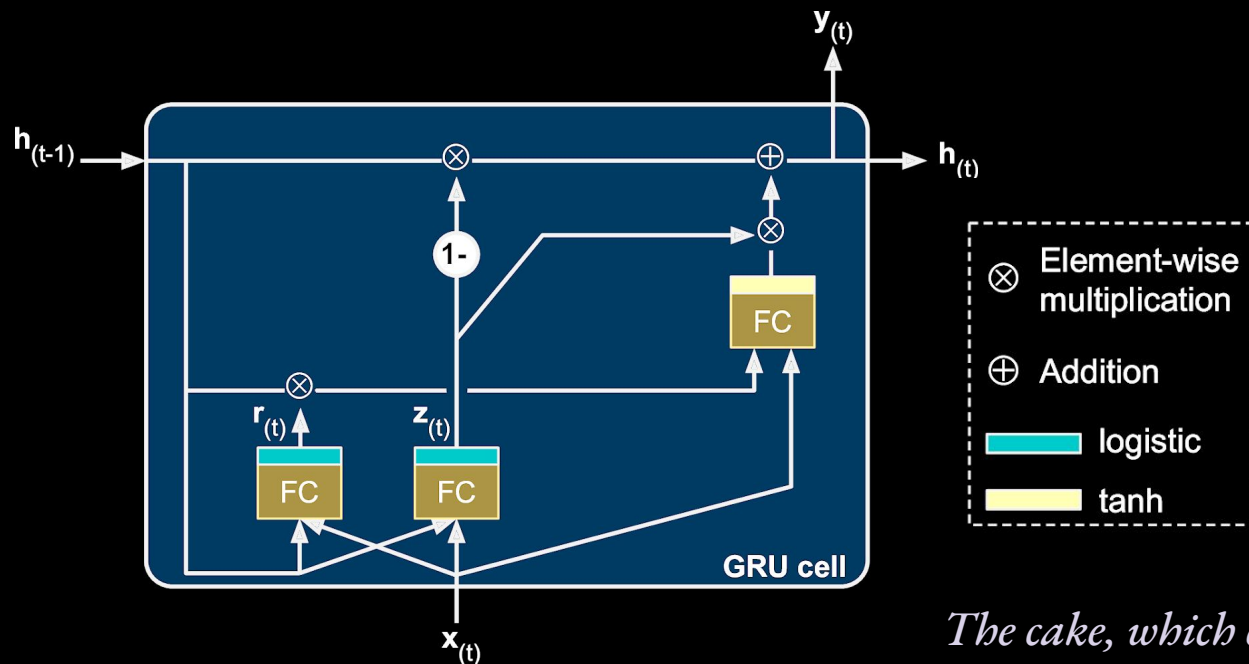
Gated Recurrent Unit

$$\mathbf{z}_{(t)} = \sigma(\mathbf{W}_{xz}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hz}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_z)$$

$$\mathbf{r}_{(t)} = \sigma(\mathbf{W}_{xr}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hr}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_r)$$

$$\mathbf{g}_{(t)} = \tanh(\mathbf{W}_{xg}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hg}^T \cdot (\mathbf{r}_{(t)} \otimes \mathbf{h}_{(t-1)}) + \mathbf{b}_g)$$

$$\mathbf{h}_{(t)} = \mathbf{z}_{(t)} \otimes \mathbf{h}_{(t-1)} + (1 - \mathbf{z}_{(t)}) \otimes \mathbf{g}_{(t)}$$



The cake, which contained candles, was eaten.

What about the gradient?

$$\mathbf{z}_{(t)} = \sigma(\mathbf{W}_{xz}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hz}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_z)$$

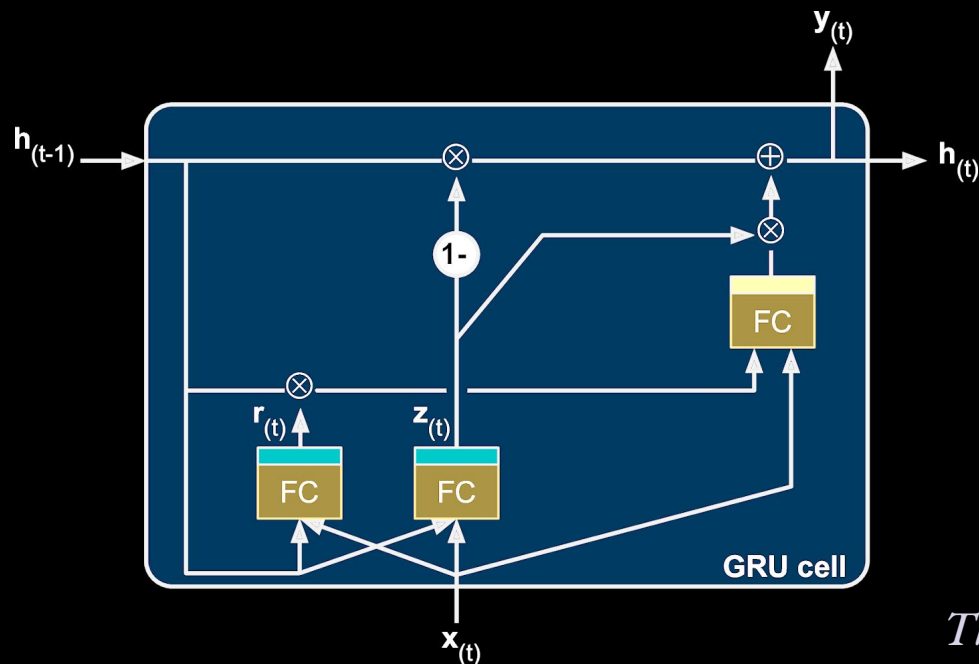
$$\mathbf{r}_{(t)} = \sigma(\mathbf{W}_{xr}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hr}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_r)$$

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$$\mathbf{h}_{(t)} = \mathbf{z}_{(t)} \otimes \mathbf{h}_{(t-1)} + (1 - \mathbf{z}_{(t)}) \otimes \mathbf{g}_{(t)}$$

The gates (i.e. multiplications based on a logistic) often end up keeping the hidden state exactly (or nearly exactly) as it was. Thus, for most dimensions of \mathbf{h} ,

$$\mathbf{h}_{(t)} \approx \mathbf{h}_{(t-1)}$$



The cake, which contained candles, was eaten.

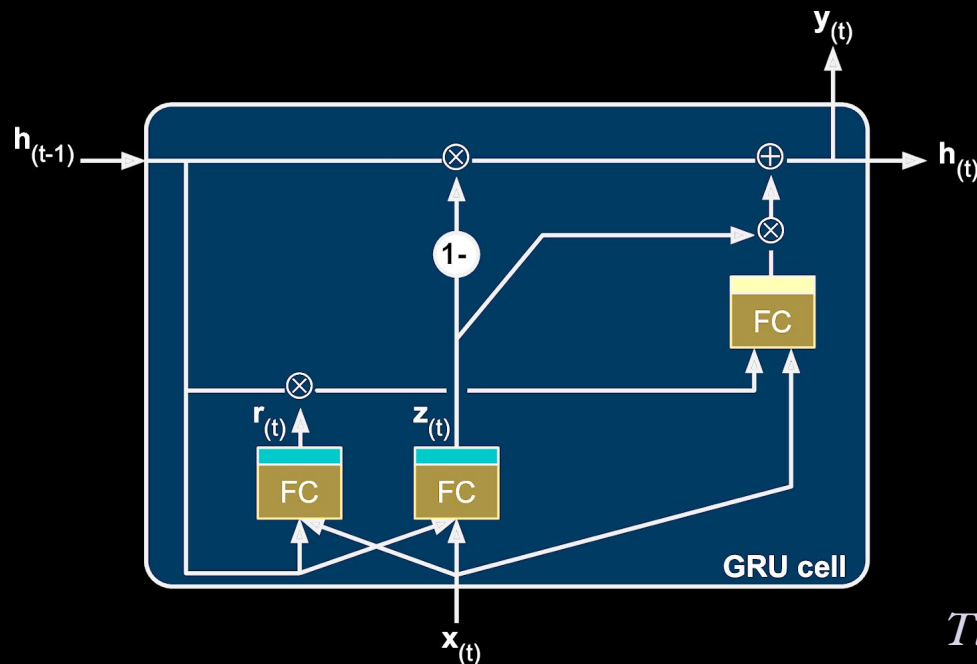
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$$\mathbf{r}_{(t)} = \sigma(\mathbf{W}_{xr}^T \cdot \mathbf{x}_{(t)} + \mathbf{W}_{hr}^T \cdot \mathbf{h}_{(t-1)} + \mathbf{b}_r)$$

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$$\mathbf{h}_{(t)} = \mathbf{z}_{(t)} \otimes \mathbf{h}_{(t-1)} + (1 - \mathbf{z}_{(t)}) \otimes \mathbf{g}_{(t)}$$



The gates (i.e. multiplications based on a logistic) often end up keeping the hidden state exactly (or nearly exactly) as it was. Thus, for most dimensions of \mathbf{h} ,

$$\mathbf{h}_{(t)} \approx \mathbf{h}_{(t-1)}$$

This tends to keep the gradient from vanishing since the same values will be present through multiple times in backpropagation through time. (The same idea applies to LSTMs but is easier to see here).

The cake, which contained candles, was eaten.

How to train an LSTM-style RNN

```
cost = tf.reduce_mean(-tf.reduce_sum(y*tf.log(y_pred))
```

Cost Function: $J_{(t)} = - \sum_{j=1}^{|\mathcal{V}|} y_{(t),j} \log y_{\hat{(t)},j}$ -- "cross entropy error"

How to train an LSTM-style RNN

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Cost Function: $J_{(t)} = - \sum_{j=1}^{|\mathcal{V}|} y_{(t),j} \log \hat{y}_{(t),j}$ -- "cross entropy error"

$$J = \sum_t^T - \frac{\sum_{j=1}^{|\mathcal{V}|} y_{(t),j} \log \hat{y}_{(t),j}}{T}$$

How to train an LSTM-style RNN

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Stochastic Gradient Descent -- a method

RNN-Based Language Models

Take-Aways

- Simple RNNs are difficult to train: exploding and vanishing gradients
- LSTM and GRU cells solve
 - Hidden states pass from one time-step to the next, allow for long-distance dependencies.
 - Gates are used to keep hidden states from changing rapidly (and thus keeps gradients under control).
 - LSTM and GRU are complex, but simply a series of functions:
 - $\text{logit}(w \cdot x)$
 - $\text{tanh}(w \cdot x)$
 - element-wise multiplication and addition
 - To train: mini-batch stochastic gradient descent over cross-entropy cost

$$\begin{pmatrix} 0.53 \\ 1.5 \\ 3.21 \\ -2.3 \\ .76 \end{pmatrix}$$

